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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,349	08/15/2001	Hiroshi Maruyama	JP920000204US1

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598



CONFIRMATION NO. 3452

## FORMALITIES LETTER



\*OC000000006786641\*

Date Mailed: 09/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of Initial Patent Examination

**Maruyama et al.**

Division  
Box Missing Parts

Serial No.: 09/930,349

Date: November 1, 2001

Filed: August 15, 2001

Confirmation No.: 3452

For: DIGITAL SIGNATURE SYSTEM, DIGITAL SIGNATURE METHOD, DIGITAL  
SIGNATURE MEDIATION METHOD, DIGITAL SIGNATURE MEDIATION  
SYSTEM, INFORMATION TERMINAL AND STORAGE MEDIUM

To: Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in  
an envelope addressed to:

Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231

on November 1, 2001

Margaret McCabe  
(Print name of person certifying)

Margaret McCabe  
(Signature of person certifying)

Docket JP920000204US1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination  
: Division  
**Maruyama et al.** : Box Missing Parts  
:   
Serial No.: 09/930,349 : Date: November 1, 2001  
:   
Filed: August 15, 2001 : Confirmation No.: 3452

For: DIGITAL SIGNATURE SYSTEM, DIGITAL SIGNATURE METHOD, DIGITAL  
SIGNATURE MEDIATION METHOD, DIGITAL SIGNATURE MEDIATION  
SYSTEM, INFORMATION TERMINAL AND STORAGE MEDIUM

**RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR  
1.63**

**FILING DECLARATION AND POWER OF ATTORNEY  
FILING ASSIGNMENT**

To: Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

Sir:

On August 15, 2001, the above-identified application was  
filed with the U.S. Patent and Trademark Office, under Rule  
1.53(f) Missing Parts.


Enclosed at this time is an original declaration, which  
includes the signatures of the three inventors. This copy has  
been altered only to indicate the serial number and filing date  
of the subject application.

Also attached for filing is the original fully executed  
Assignment for the above-identified application with the required  
Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed September 25, 2001, is also attached as per your instructions.

Respectfully submitted,

by   
Louis P. Herzberg  
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IBM Thomas J. Watson Research Center  
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Attorney Docket: JP920000204US1